## UTILITY PATENT APPLICATION TRANSMITTAL

| Attorney Docket No.  |            | 02-10        | Total Pages         | 35     |     |
|--|------------|--------------|---------------------|--------|-----|
|  | First Na   | med Inventor | or Application Iden | tifier | -   |
| ZIEG   | LER et al. |              |                     |        |     |
| Title INTERACTIVE CHARACTER FOR USE WITH AN AEROSOL MEDICATION DELIVERY SYSTEM |            |              |                     |        | PTO |
|  | ·          | T            |                     |        |     |

| (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))   | xpress Mail Label No. EV 196251495 US   |  |  |  |  |
|--|---|--|--|--|--|
|  |   |  |  |  |  |
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.  | Commissioner for Patents  ADDRESS TO:  Box Patent Application  Washington, DC 20231   |  |  |  |  |
| 1. Fee Transmittal Form (Submit an original, and a duplicate for fee processing)   | 7. <b>DOMESTIC/INTERNATIONAL</b> priority is claimed under 35 U.S.C. § 119(e)/120/365(c) based on the following provisional, nonprovisional, and/or PCT internation applications. |  |  |  |  |
|  | Application No. Filing Date   |  |  |  |  |
| 2. Specification [Total Pages 16]  | (1) 60/408,176 September 4, 2003  |  |  |  |  |
| (preferred arrangement set forth below) -Descriptive Title of the Invention  | (2)   |  |  |  |  |
| -Cross References to Related Applications -Statement Regarding Fed sponsored R & D -Background of the Invention -Brief Summary of the Invention                          | 8. Prior application is assigned to <u>RIC Investments, Inc.</u> by Assignment recorded <u>October 15, 2002</u> Reel <u>013401</u> Frame(s) <u>0226</u> .                         |  |  |  |  |
| -Brief Description of the Drawings (if filed) -Detailed Description  | ACCOMPANYING APPLICATION PARTS  |  |  |  |  |
| -Claim(s) -Abstract of the Disclosure  | 9. Assignment Papers (cover sheet & document(s)) (Please return recorded assignment to the undersigned)   |  |  |  |  |
| <ul> <li>3. Drawing(s) (35 U.S.C. § 113) [Total Sheets 4]</li> <li>4. Drawing(s) (35 U.S.C. § 113) [Total Pages 2]</li> </ul>  | 10. 37 C.F.R. § 3.73(b) Statement Power of Attorney (when there is an assignee)   |  |  |  |  |
| a. Newly executed (original or copy)   | 11. English Translation Document (if applicable)  |  |  |  |  |
| b. Copy from a prior application (37 C.F.R. §1.63(d))  (for continuation/divisional with Boxes 19 and 20 completed)  | 12. Information Disclosure Copies of IDS Citations Statement (IDS)/PTO-1449   |  |  |  |  |
| i. DELETION OF INVENTOR(S)   | 13. Preliminary Amendment (to be entered before calculating fees)   |  |  |  |  |
| Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).  | 14. Return Receipt Postcard (Should be specifically itemized)   |  |  |  |  |
| c. Unsigned  Incorporation By Reference (useable if Box 4b is checked)   | 15. Certified Copy of Priority Document(s) (if foreign priority is claimed)   |  |  |  |  |
| The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied   | 16. Certificate of Mailing by Express Mail  |  |  |  |  |
| under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.                             | 17. Non-publication Request and Cert. under 35 U.S.C.   |  |  |  |  |
|  | § 122(b)(2)(B)(i) (attach copy of RI-186 Form (PTO/SB/35)).   |  |  |  |  |
| 6. Application Data Sheet per 37 C.F.R. § 1.76  [Total Pages 2]  | 18.  Other:   |  |  |  |  |
| 19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  Continuation Divisional Continuation-in-part (CIP) of prior application No |   |  |  |  |  |
| Prior application Information: Examiner: Group/Arti  | Unit:   |  |  |  |  |
| 20. Please amend the specification by inserting before the first lin   | ne the sentence: This is a Continuation Divisional  |  |  |  |  |
| Continuation-in-part (CIP) of prior application No   |   |  |  |  |  |
| 21. CORRESPONDENCE ADDRESS   |   |  |  |  |  |
| Customer No. and Bar Code Label.  30031  PATENT TRADEMARK OFFICE   |   |  |  |  |  |

| Name      | Michael W. Haas | Reg. No. | 35,174          |
|-----------|-----------------|----------|-----------------|
| Signature | Michael W. Hoan | Date     | August 21, 2003 |

## FEE TRANSMITTAL

(Effective 01/01/2003)

| Application Number   | Not Yet Assigned |  |
|----------------------|------------------|--|
| Filing Date          | August 21, 2003  |  |
| First Named Inventor | ZIEGLER et al.   |  |
| Confirmation Number  | Unknown          |  |
| Group Art Unit       | Unknown          |  |
| Examiner's Name      | Unknown          |  |
| Attorney Docket No.  | 02-10            |  |

"Express Mail" Label No. EV 196251495 US \$ 826.00 TOTAL AMOUNT OF PAYMENT

| METHOD OF PAYMENT   |                      | FEE CALCULATION (continued) |                      |                       |   |          |  |
|---|----------------------|-----------------------------|----------------------|-----------------------|---|----------|--|
| The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:                                   | 3. Al                | 3. ADDITIONAL FEES          |                      |                       |   |          |  |
| Deposit<br>Account 50-0558<br>Number  | Large<br>Fee<br>Code | Entity<br>Fee<br>(\$)       | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description   | Fee Paid |  |
| Deposit Respironics, Inc.   | 1051                 | 130                         | 2051                 | 65                    | Surcharge - late filing fee or declaration                                  |          |  |
| Name  | 1052                 | 50                          | 2052                 | 25                    | Surcharge - late provisional filing fee or                                  |          |  |
| Charge any additional fee required under 37 C.F.R. §§ 1.16, 1.17 1.19 and 1.20 Charge the Issue Fee set forth in 37 C.F.R. § 1.18 | 1811                 | 100                         | 1811                 | 100                   | Certificate of Correction   |          |  |
| 2. X Payment Enclosed:  | 1812                 | 2,520                       | 1812                 | 2,520                 | For filing a request for reexamination                                      |          |  |
| Check (Check No. <u>1162</u> )  | 576                  | 25                          | 576                  | 25                    | Additional filing receipt, duplicate or corrected due to applicant error    |          |  |
| FEE CALCULATION (fees effective 10/01/00)   | 1805                 | 1,840*                      | 1805                 | 1,840*                | Requesting publication of SIR after Examiner action                         |          |  |
| 1. FILING FEE   | 1251                 | 110                         | 2251                 | 55                    | Extension for response within first month                                   |          |  |
|   | 1252                 | 410                         | 2252                 | 205                   | Extension for response within second month                                  |          |  |
| Large Entity Small Entity Fee (\$) Fee (\$) Fee Description Fee Paid  | 1253                 | 930                         | 2253                 | 465                   | Extension for response within third month                                   |          |  |
| Fee (\$) Fee (\$) Fee Description Fee Paid  1001 750 2001 375 Utility filing fee 750.00   | 1254                 | 1,450                       | 2254                 | 725                   | Extension for response within fourth month                                  |          |  |
| 1002 330 2002 165 Design filing fee   | 1255                 | 1,970                       | 2255                 | 985                   | Extension for response within fifth month                                   |          |  |
| 1003 520 2003 260 Plant filing fee  | 1401                 | 320                         | 2401                 | 160                   | Notice of Appeal  |          |  |
| 1004 750 2004 375 Reissue filing fee  | 1402                 | 320                         | 2402                 | 160                   | Filing a brief in support of an appeal                                      |          |  |
| 1005 160 2005 80 Provisional filing fee   | 1403                 | 280                         | 2403                 | 140                   | Request for oral hearing  |          |  |
|   | 1451                 | 1,510                       | 1451                 | 1,510                 | Petition to institute a public use proceeding                               |          |  |
| <b>SUBTOTAL (1)</b> \$ 750.00   | 1452                 | 110                         | 2452                 | 55                    | Petition to revive unavoidably abandoned application                        |          |  |
| 2. CLAIMS Extra Fee from Claims Below Fee Paid  | 1453                 | 1,300                       | 2453                 | 650                   | Petition to revive unintentionally abandoned application                    |          |  |
| Total Claims $22 - 20 \times 2 \times 18 = 36.00$   | 1501                 | 1,300                       | 2501                 | 650                   | Utility issue fee (or reissue)  |          |  |
| Ind. Claims $3 - 3 \cdot 0 \times 84 = 0.00$  | 1502                 | 470                         | 2502                 | 235                   | Design issue fee  |          |  |
| Multiple Dependent Claims add 280 = 0.00  | 1814                 | 110                         | 2814                 | 55                    | Statutory Disclaimer  |          |  |
| * Enter Highest Number Previous Paid For  | 1460                 | 130                         | 1460                 | 130                   | Petitions to the Commissioner   |          |  |
| Large Entity Small Entity Fee Description Fee (\$) Fee (\$)   | 1807                 | 50                          | 1807                 | 50                    | Petitions related to provisional applications                               |          |  |
| 1202 18 2202 9 Claims in excess of 20   | 1806                 | 180                         | 1806                 | 180                   | Submission of Information Disclosure Stmt                                   |          |  |
| 1201 84 2201 42 Independent claims in excess of   | 8021                 | 40                          | 8021                 | 40                    | Recording each patent assignment per<br>property (times number of property) | 40.00    |  |
| 1203 280 2203 140 Multiple dependent claim  | 1809                 | 750                         | 2809                 | 375                   | Filing a submission after final rejection (37 C.F.R. § 1.129(a))            |          |  |
| 1204 84 2204 42 Reissue independent claims over original patent   | 1801                 | 750                         | 2801                 | 375                   | Request for Continued Examination   |          |  |
| 1205 18 2205 9 Reissue claims in excess of 20 and over original patent  | Other f              | Fee (specif                 | y)                   |                       |   |          |  |
| SUBTOTAL (2) \$ 36.00   |                      |                             |                      |                       | SUBTOTAL (3)  | \$ 40.00 |  |

| SUBMITTED BY             |                 |      |                 |                           |         |
|--------------------------|-----------------|------|-----------------|---------------------------|---------|
| Typed or<br>Printed Name | Michael W. Haas |      |                 | Reg. Number               | 35,174  |
| Signature                | Michael he Hour | Date | August 21, 2003 | Deposit Account<br>Number | 50-0558 |

## Certificate of Mailing by "Expr ss Mail"

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|        | Mail Stop Patent Application   |                        |                 |
|        | Commissioner for Patents   |                        |                 |
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|        | Alexandria, VA 22313-1450.   |                        |                 |
| 1      | Michael Jr. How  |                        |                 |
| 5      | signature of person mailing correspo   | ondence                |                 |
| N      | lichael W. Haas  |                        |                 |
| Тур    | ed or printed name of person maili   | ng correspondence      |                 |
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